



Business Continuity Plan Disclosure

Boston Merchant Financial Services Inc. (BMFS) has developed a business continuity plan that will be placed into effect in the event of any significant business disruption that would include, but not limited to, a power outage, natural disasters or other events that would disrupt business. The plan is intended to enable BMFS to conduct critical business operations during these types of events. BMFS business continuity plan address the recovery actions that will be taken in the event of a significant business disruption to the BMFS home office building, business district, citywide area or entire region. BMFS fully intends to ensure that customers have access to their fund and securities during any disruption. To support BMFS business continuity plan our Clearing Firm and Data provider maintain back up facilities, including secondary data centers. These back up facilities are located a considerable distance from BMFS facilities as well as a considerable distance from our provider's main facilities.

The plan will be reviewed, updated and tested periodically.

The plan is available upon request.

[Southwest Securities](#)

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